



02/13/01

Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)

04-14-01 JC06 Rec'd PCT/PTO 14 FEB 2001

09/762963

Attorney's Docket No. : A20-017  
 U.S. Application No. : Not yet assigned  
 International Application No. : PCT/AU99/00659  
 International Filing Date. : 13 August 1999 (13.08.99)  
 Priority Date Claimed : 13 August 1998 (13.08.98)  
 Title of Invention : Monoclonal Antibody Inhibitor of GM-CSF, IL-3 and IL-5 and Other Cytokines and Uses Thereof  
 Applicant(s) for (DO/EO/US) : Angel, LOPEZ and Richard D'ANDREA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a) ☒ is transmitted herewith (required only if not transmitted by the International Bureau)
  - b) ☐ has been transmitted by the international Bureau
  - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English [35 U.S.C.371(c)(2)]
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C.371(c)(3)]
  - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
  - b) ☐ have been transmitted by the International Bureau
  - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d) ☐ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c)(3)]
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C.371(c)(4)]
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C.371(c)(5)]

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
12. ☒ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☐ A **FIRST** preliminary amendment  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
14. ☐ A substitute specification
15. ☐ A change of power of attorney and/or address letter
16. ☒ (other items or information) Form PCT/RO/101, Form PCT/RO/308, Form PCT/IB/332, PCT Published Application No. ~~WO 97/28190~~, PCT Demand; Form PCT/IPEA/416, and Form PCT/IPEA/409.

WO 00/09561

EXPRESS MAIL No.: EL 704 550 135 US Deposited: February 13, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Helen Tam

February 13, 2001  
Date

17. ☒ The following fees are submitted:

		CALCUL- ATIONS	PTO USE ONLY
<b>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:</b>			
—	Search Report has been prepared by the EPO or JPO	\$ 860.00	
—	International preliminary examination fee paid to USPTO [37 CFR 1.482]	\$ 690.00	
—	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]	\$ 710.00	
<input checked="" type="checkbox"/>	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO	\$ 1000.00	\$1000.00
—	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)	\$ 100.00	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b>		\$1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]			
Claims	Number filed	Number extra	Rate
Total Claims	31 -20=	11 x	\$ 18. =
Indep. Claims	5 - 3=	2 x	\$ 80. =
Multiple Dependent Claim(s) (if applicable) + \$ 260. =			\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$1270.00
Reduction by 1/2 for filing by small entity, if applicable. Applicant claims small entity status. See 37 CFR 1.27 [Note 37 CFR 1.9, 1.27, 1.28]			
			\$ 635.00
<b>SUBTOTAL =</b>			\$ 635.00
Processing fee of \$130.00 for furnishing the English Translation later than <u>20</u> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]			
<b>TOTAL NATIONAL FEE =</b>			\$
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31]. \$40.00 per property			
			\$ 40.00
<b>TOTAL FEES ENCLOSED =</b>			\$ 675.00
(AMOUNTS TO BE REFUNDED OR CHARGED)			REFUNDED \$ CHARGED \$

a) ☒ A check in the amount of **\$675.00** to cover the above fees is enclosed.

b) ☐ Please charge my Deposit Account No. **04-0838** in the amount of \$  
to cover the above fees. A duplicate copy of this sheet is enclosed.

c) ☒ The Commissioner is hereby authorized to charge any additional fees which  
may be required, or credit any overpayment to Deposit Account No. **04-0838**.  
A duplicate copy of this sheet is enclosed.

d) ☒ Applicant claims small entity status. See 37 CFR 1.27

**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met,  
a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore  
the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Henry D. Coleman, Esq.  
Coleman Sudol Sapone, PC  
708 Third Avenue, 14th Floor  
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Tel. (212) 679-0090

Henry D. Coleman  
Name

signature

32,559  
Reg. No.

February 13, 2001  
Date